

11/16/01

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional application under 37 C.F.R. § 1.53(b))	Attorney Docket No.	IN-5490
	First Named Inventor	Charles L. TAZZIA et al.
	Filing Date	November 15, 2001
	Title	IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION
	Mail Certificate	HAND DELIVER

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 38] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="checkbox"/>]	ACCOMPANYING APPLICATION PARTS
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 0] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Application Data Sheet. See 37 CFR 1.76	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input checked="" type="checkbox"/> 37 CFR§3.73(b) Statement <input checked="" type="checkbox"/> Power Of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS) <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> other:

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 _____ filed on _____

Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 26922 PATENT-TRADEMARK OFFICE	<input type="checkbox"/> Correspondence address below			
Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary S. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary S. Golota</i>	Date	November 16, 2001		

The PTO did not receive the following listed item(s) assignment

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 2001

Attorney Docket No.	IN-5490
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TOTAL AMOUNT OF PAYMENT \$1,568.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §
Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)		
101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 66 -20** =	46	18	\$828.00
Independent Claims 03 - 3** =	0	84	-0-
Multiple Dependent		290	-0-

**Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103 18		Claims in excess of 20
102 80		Independent claims in excess of 3
104 270		Multiple dependent claims, if not paid
109 82		**Reissue independent claims over original patent
110 22		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$828.00)

FEE CALCULATION

3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	890.	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,320	Petition to revive-unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other	fee	Reduced By Basic Fee Paid Filing	-0-

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

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Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>			Date	November 16, 2001

PATENT (Practitioner's Docket No. IN-5490)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No.: Herewith

Group Art Unit: Not Assigned

Filed: November 16, 2001

Examiner: Not Assigned

For: IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION

Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING FOR HAND DELIVER

I hereby certify that the attached correspondence comprising:

x	Post Card		
x	Utility Transmittal Cover letter	01	Page(s)
x	Fee Transmittal in duplicate	01	Page(s)

English Application			
Specification on	28	pages;	claims on
the abstract on	01	page(s);	drawings on
			09
			pages;
			page(s).

Is being hand deliver by Cantwell & Paxton to the Box Patent Application Commissioner for Patents, Washington, D.C. 20231.

on November _____

signature

(type or print name of person certifying)